

# Empowering global financial institutions to tackle regulatory change with Artificial Intelligence (AI)

## Why are 2 million financial services staff consuming global regulatory intelligence, powered by CUBE?

CUBE is an enterprise-scale Regulatory Technology (RegTech) platform that operates throughout the compliance lifecycle to continuously monitor regulatory change, alert you to changes that impact your business and enable rapid remediation to reduce compliance risk.

Too many firms still rely on highly manual processes and age-old systems to gather regulatory data and manage regulatory change. This is proving costly, unreliable, inconsistent, risky – and difficult to defend when asked by the Regulator for answers.

As a result, compliance costs are still rising, in line with continuing exponential growth in the volume, pace and variety of regulation. Millions of man hours and billions of dollars are needlessly spent on compliance, and from 2008-2017 more than \$321 billion was paid in regulatory enforcement fines.

**Many of the world's largest financial institutions have found CUBE to be the only credible alternative.** These firms operate multiple lines of business, across many jurisdictions, navigating a highly complex cross-border regulatory landscape.

Our customers utilize CUBE in various ways.

### CUBE for governance of information assets

- **Know your records** – understand your regulatory obligations, identify the rules that must be complied with, and apply them automatically
- **Privacy and data transfer** – build a lens to see where privacy impacts your business and data, set compliant policy and establish the controls required to ensure compliant data transfer
- **Compliant communications** – ensure that all documents and communications are generated, distributed, maintained and retained, in line with compliance obligations



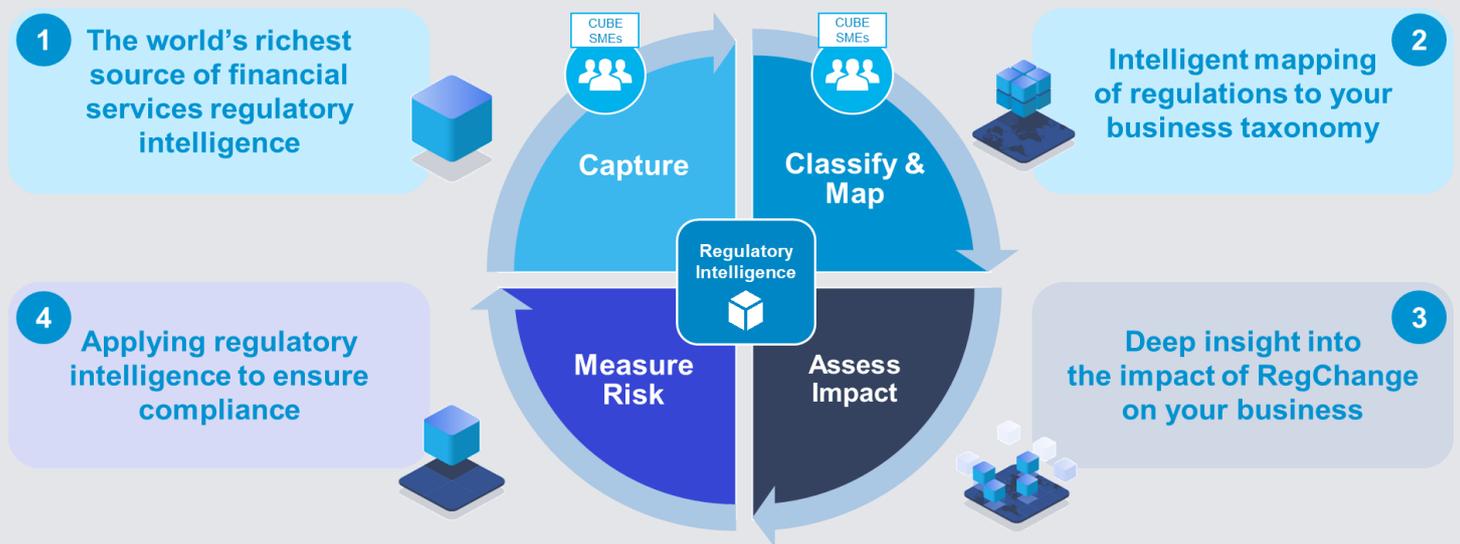
### CUBE for compliance digitization

- **Regulatory radar** – know which regulations are in force globally, how they impact your business and what is coming next on the horizon
- **Enforcement tracking** – gain visibility of penalties imposed for compliance breaches, and understand which areas of your business are at risk of similar fines
- **Regulatory gap reporting** – identify regulatory gaps within your own policies and procedures, as soon as they arise
- **Compliance controls automation** – map compliance controls to regulation and automate verification and evidence processes
- **Enterprise policy compliance** – ensure global policies are aligned to the global regulatory environment, and keep up to date with changing regulatory obligations
- **Cross-border selling** – understand the rules, when doing business cross-border, and build the right controls into procedures and systems

**Book your 10-minute discovery call to find out how CUBE will work for you**  
[contact@cube.global](mailto:contact@cube.global)  
[www.cube.global](http://www.cube.global)



## 4-Step CUBE methodology for monitoring compliance status and managing regulatory change



The CUBE platform is driven by Artificial Intelligence, Machine Learning and Natural Language Processing to achieve exceptional levels of intelligence and automation – speed and agility at scale, at vastly reduced cost.

### 1 Regulatory radar

- Access the world's single richest source of global regulatory intelligence, including regulations, rules, enforcement and trend data.
- CUBE automates the process of monitoring, capturing and analyzing global regulatory data, continuously. CUBE has unparalleled reach across 180 jurisdictions, and 60 languages.

### 2 Regulatory mapper

- Know which regulations are relevant to your business, across all jurisdictions.
- CUBE seamlessly integrates with your existing systems and processes via Open API Connectors, and maps global regulatory data on to taxonomy, to identify which regulations apply to your lines of business and jurisdictions. CUBE then maps these regulations to your own policy, procedures, controls and records, to pinpoint compliance risk and facilitate resolution.

### 3 Regulatory change manager

- Get instant, automated notifications of regulatory changes that create compliance gaps in your business, enabling timely analysis and remediation.
- CUBE highlights the impact of regulatory change on your business, issues real-time alerts and triggers downstream compliance processes.

### 4 Compliance process manager

- Monitor your compliance status, manage regulatory change and govern compliant policy distribution and execution, consistently across the enterprise.
- CUBE's robotic process automation delivers enterprise-wide oversight, and rules-based workflows, to ensure compliance.

#### Deep insights support your compliance teams

Analytics are viewed via graphical dashboards and heat maps, which are continuously updated.

CUBE's 24/7 Regulatory Knowledge Base and "Ask the Expert" service provide deep regulatory intelligence insights across the enterprise

More info? Visit [cube.global](https://cube.global) or email [contact@cube.global](mailto:contact@cube.global)